

Texas Southern University
Annual Investment Report (Including Deposits)

August 31, 2012
Market Value

Investment or Deposit Type

Publicly Traded Equity and Similar Investments

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)	23,197,922.55
Equity/Stock Mutual Funds	
Balanced Mutual Funds (where target allocation is > 50% equities)	
"Commonfund" Equity Commingled Funds	
Other Equity Commingled Funds (if primarily invested in publicly traded equities)	
Preferred Stock	
Other - list by type	
Total Publicly Traded Equity and Similar Investments	23,197,922.55

"Other" Investments - Other than Publicly Traded Equity and Debt Investments

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio)	
Other Real Asset Investments (e.g. investments in infrastructure funds)	
Private Equity	
Hedge Funds	
"Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)	
Annuities	
Commodities	
Collectibles	
Other - list by type	
Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments	0.00

Publicly Traded Debt & Similar Investments >1 year maturity

U.S. Government Securities ("Treasuries")	1,893,719.79
U.S. Government Agency Securities ("Agencies")	4,017,904.06
Mortgage Pass-Throughs - "Agency"	
Mortgage Pass-Throughs - "Private Label"	
Asset-Backed Securities (ABS) (other than mortgage-backed securities)	
Sovereign Debt (non-U.S.)	
Municipal Obligations	
Collateralized Mortgage Obligations (CMOs) - list below by category	
Interest Only Strips (IOs)	
Principal Only Strips (POs)	
Inverse Floaters	
Stated Final Maturity longer than 10 years	
Other CMOs - "Agency"	
Other CMOs - "Private Label"	
Corporate Obligations (U.S. or foreign companies) - list below by rating	
Highly Rated (AAA/AA or equivalent)	2,396,261.69
Other Investment Grade (A/BBB or equivalent)	2,326,875.58
High Yield Bonds (<BBB or equivalent)	616,464.12
Not Rated (NR)	
Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)	
Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)	

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"Commonfund" Fixed Income/Bond Commingled Funds	
Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)	
GICs (Guaranteed Investment Contracts)	
Other - list by type	
Total Publicly Traded Debt & Similar Investments >1 year	11,251,225.24
Short-Term Investments & Deposits	
U.S. Government Securities ("Treasuries")	
U.S. Government Agency Securities ("Agencies")	16,881,978.13
Bankers' Acceptances	
Commercial Paper - A1/P1 (or equivalent)	2,498,025.34
Other Commercial Paper - lower rated	
Repurchase Agreements (Repos)	
Money Market Mutual Funds (registered with the SEC)	
Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)	
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")	
TexPool (and TexPool Prime)	
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds	
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)	29,424,696.17
Certificates of Deposit (CD) - Nonnegotiable	
Certificates of Deposit (CD) - Negotiable	
Bank Deposits	
Cash Held at State Treasury	
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)	
Other - list by type	
Total Short-Term Investments & Deposits	48,804,699.64
TOTAL INVESTMENTS and DEPOSITS	83,253,847.43

**Texas Southern University
Business and Financial Services
Annual Investment Disclosure
Fiscal Year 2012**

In accordance with Chapter 2.3 of the State Auditor's Office Report No. 02-058, the following information is submitted:

1. *Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution employs outside investment managers and they have authority to make investments decisions within the investment policy guidelines without obtaining prior approval. The investment managers are as follows:*

Gray & Company
3333 Piedmont Rd NE
Suite 1250
Atlanta, Georgia 30305

Smith Graham & Company
6900 Chase Tower
600 Travis
Houston, Texas 77002

Davis Advisors
609 Fifth Avenue
11th Floor
New York City, New York 10017

Markston International
50 Main Street
Suite 285
White Plains, New York 10606

2. *Does the institution use soft dollar arrangements (a means of paying for services through brokerage commission revenue, rather than through direct payments)? If the answer to this question is yes, the institution must provide a copy of the guidelines that govern the use of soft dollar arrangements. No, the institution does not use soft dollar arrangements.*
3. *Is the institution associated with an independent endowment or foundation? (If the answer to this question is yes, the institution must provide contact information [name and address] for the individual[s] who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.) Yes, the institution is associated with independent foundations. They are as follows:*

Texas Southern University Foundation
Attn: Wendy Adair/ 713-313-6882
3100 Cleburne
Houston, Texas 77004
Market Value of Endowment/Investments: Unavailable at this time

Texas Southern University Alumni Association
Attn: Marcus Davis (713) 528-8561
3711 Travis Street
Houston, Texas 77002
Email:marcus@thebreakfastklub.com
Market Value of Endowment/Investments: Unavailable at this time